

Financial products and ESG regulations

What needs to be done from a Swiss and an EU perspectives

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14.00 Overview of the Swiss and EU regulatory landscape /ESG

Sofia Tsankova

GREENING YOUR FINANCIAL PRODUCTS, NOT GREENWASHING

14.10 Greening your financial products – market insights and the role of regulation

- PwC presents the results of the new study „Greening your financial products: A market analysis on light & dark green financial instruments in practice“.
- The study analyses a variety of sustainability products offered by financial institutions in Switzerland, the EU and worldwide.
- Typical patterns and characteristics of ESG funds will be identified and best practices regarding ESG investment strategies and other ESG elements will be discussed.

Dr. Antonios Koumbarakis & Sofia Tsankova

14.50 Net zero in the financial industry – what does it really mean?

- Relevance of net zero and overview on commitments
- Science based target setting
- Pathways to net zero
- Decarbonization of lending activities and investments
- Decarbonization of balance sheet
- Emission Trading Schemes as offsetting measure

Stephan Hirschi and Dr. Astrid Offenhammer

15.30 Avoiding greenwashing

- What is greenwashing and how is it defined
- What are the risks associated with greenwashing
- What has been the industry/regulatory response to greenwashing
- What can banks do to prevent/mitigate against greenwashing
- How to make credible green/sustainability claims

Simon Heller

16.00 Coffee break

FINANCIAL PRODUCTS DISCLOSURES

16.10 Product-level disclosure

- What are the SFDR obligations for product disclosures?
- Who is affected and to what extent?

- When will the SFDR requirements coming into effect?
- What's the difference between level 1 and level 2 disclosure?
- What are the differences between Art. 6, 8, 9 disclosures?
- What level of documentation is affected?
- Where do financial institutions have to report these information?

Marcel Meyer and Konstantin Meier

16.40 Climate risk disclosure and the role of Switzerland

- Regulatory requirements EU: EU Taxonomy, SFRD, CSRD
- Regulatory requirements CH: forthcoming mandatory climate reporting by large Swiss companies.
- Voluntary requirements: TCFD

Stephan Hirschi and Dr. Astrid Offenhammer

INTEGRATING SUSTAINABILITY IN THE INVESTMENT PROCESS

17.00 How to integrate sustainability in the investment process? – Challenges and insights from the practice

Sofia Tsankova

17.20 How to integrate sustainability /ESG in the suitability assessment?

- Can an FI invest / or advise investments without assessing the clients' ESG preferences?
- How to formalise the integration of ESG preferences in the client risk profile (CRP) at Knowledge and Experience level and at the investment objectives level?
- How to formalise the integration of ESG preferences in the client's investment strategy: benchmark, asset allocation, investment restrictions, investment instructions (clients requirements)
- Duty to inform the client: providing ESG relevant information; consequences on KID, prospectus

Eric Favre

17.50 End of the conference

Financial products and ESG regulations

PRACTICAL INFORMATION

Venue

Hotel President Wilson
47 quai Wilson, Geneva

Visio conference on Zoom

The Zoom link and the documentation will be sent to the participants on 2 November in the afternoon.

How to register

by phone: +41 (0) 22 849 01 11
by fax: +41 (0) 22 849 01 10
by e-mail: info@academyfinance.ch
by post: Academy & Finance SA
PO Box 6069, CH-1211 Geneva 6
www.academyfinance.ch

Fees

620 CHF (+ VAT 7.7%)

A special fee is granted to the independent asset managers who are members of the associations ASG-VSV, VQF, Polyreg, OAR-G, GSCGI, ARIF: 480 CHF.

Additional registrations from the same company: - 50%

Registration and payment

Payment is made by bank transfer or by credit card. Credit card payments will be debited immediately upon receipt of card details. In any case, we will send you an invoice by email.

Substitution & cancellation policy

Substitutions from the same company are accepted at any time. Cancellation requests must be received in writing (email, fax) up to the following dates end of business :

- 27 October refund of 100%
- after 27 October no refund will be made for cancellation.

REGISTRATION FORM

I register for the seminar «Financial products and ESG regulations» in Geneva on wednesday 3 November 2021.

I want to attend online on Zoom

I am member of the association:

FIRST PARTICIPANT

Full name.....

Position.....

E-mail.....

SECOND PARTICIPANT (-50%)

Full name.....

Position.....

E-mail.....

Company.....

Address.....

Postcode..... City.....

Tel Fax.....

Person to which the invoice must be sent for payment:

Name..... Email.....

Bank transfer Mastercard VISA AMEX

Credit card No : _____ / _____ / _____ / _____ Expiry date : ____ / ____

Cardholder.....

Address of AMEX cardholder

Date Signature.....

The organisers reserve the right to amend the programme if, despite their best efforts, circumstances oblige them to do so.